

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD OF PREPARING RECOMBINANT ADENO-ASSOCIATED VIRUS COMPOSITIONS**, the Specification of which:

- ☐ is attached hereto.  
☒ was filed on **May 27, 1999** as Application Serial No. **09/321,897**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

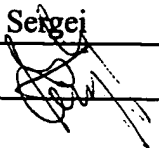
I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.


I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

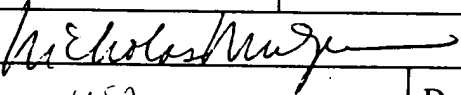
PRIORITY APPLICATION(S)			Priority Claimed
60/086,898	USA	May 27, 1998	Yes
(Number)	(Country)	(Date Filed)	Yes/No
(Number)	(Country)	(Date Filed)	Yes/No

I hereby direct that all correspondence and telephone calls be addressed to David W. Hibler, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

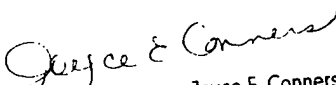

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name:	Sergei		Zolotukhin
Inventor's Signature:			
Country of Citizenship:	Ukraine	Date:	8/20/99
Residence Address: (street, number, city, state, and/or country)	1122 SW 96 Street, Gainesville, Florida 32607		
Post Office Address: (if different from above)			

Inventor's Full Name:	Barry	J.	Byrne
Inventor's Signature:			
Country of Citizenship:	USA	Date:	8/20/99
Residence Address: (street, number, city, state, and/or country)	7902 SW 45 <sup>th</sup> Lane, Gainesville, Florida 32608		
Post Office Address: (if different from above)			

Inventor's Full Name:	Nicholas		Muzyczka
Inventor's Signature:			
Country of Citizenship:	USA	Date:	8/20/99
Residence Address: (street, number, city, state, and/or country)	9837 SW 67 <sup>th</sup> Drive, Gainesville, Florida 32608		
Post Office Address: (if different from above)			

State of Florida  
County of Alachua

  

 Joyce E. Conners  
 MY COMMISSION # CC743003 EXPIRES  
 June 26, 2002  
 BONDED THRU TROY FAIR INSURANCE, INC.

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re Application of:****Sergei Zolotukhin, Barry J. Byrne and  
Nicholas Muzyczka****Group Art Unit: Unknown****Serial No.: 09/321,897****Examiner: Unknown****Filed: May 27, 1999****Atty. Dkt. No.: 4300.007800****For: METHOD OF PREPARING  
RECOMBINANT ADENO-ASSOCIATED  
VIRUS COMPOSITIONS****ELECTION UNDER 37 C.F.R. § 3.71 AND 3.73  
AND POWER OF ATTORNEY****Assistant Commissioner for Patents  
Washington, D.C. 20231****Sir:**

The undersigned, being the Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Danny L. Williams, Reg. No. 31,892; Terry D. Morgan, Reg. No. 31,181; J. Mike Amerson, Reg. No. 35,426; Kenneth D. Goodman, Reg. No. 30,460; Barbara S. Kitchell, Reg. No. 33,928; Jeffrey A. Pyle, Reg. No. 34,904; Randall C. Furlong, Reg. No. 35,144; Scott F. Diring, Reg. No. 35,119; Jeffrey E. Hundley, Reg. No. 42,676; George J. Oehling, Reg. No. 40,471; Shelley P.M. Fussey, Reg. No. 39,458; David W. Hibler, Reg. No. 41,071; and Mark D. Moore, Reg. No. 42,903,

each an attorney or agent with the law firm of WILLIAMS, MORGAN & AMERSON, P.C., as its attorney or agent so long as they remain with such law firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to the University of Florida, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee

Please direct all communications as follows:

David W. Hibler  
WILLIAMS, MORGAN & AMERSON, P.C.  
7676 Hillmont, Suite 250  
Houston, Texas 77040  
(713) 934-7000

Assignee: UNIVERSITY OF FLORIDA

By: Thomas E. Walsh

Name: Thomas E. Walsh

Title: Director of Sponsored Research

Date: 8/23/99

ASSIGNMENT:

☒  
☐

Concurrently filed

Previously recorded

Date: \_\_\_\_\_

Reel: \_\_\_\_\_

Frame: \_\_\_\_\_

DCH



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 02, 1999

PTAS

DOCKETING DEPT

WILLIAMS, MORGAN & AMERSON, P.C.  
DAVID W. HIBLER  
7676 HILLMONT, SUITE 250  
HOUSTON, TEXAS 77040

NOV 17 1999



\*101132462A\*

WILLIAMS, MORGAN & AMERSON

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/24/1999

REEL/FRAME: 010201/0410

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ZOLOTUKHIN, SERGEI

DOC DATE: 08/20/1999

ASSIGNOR:

BYRNE, BARRY J.

DOC DATE: 08/20/1999

ASSIGNOR:

MUZYCZKA, NICHOLAS

DOC DATE: 08/20/1999

ASSIGNEE:

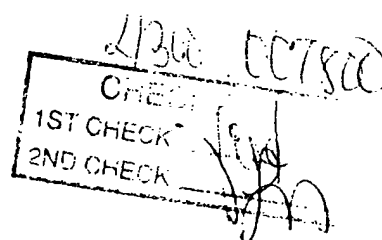
FLORIDA, UNIVERSITY OF  
1938 W. UNIVERSITY AVENUE  
GAINESVILLE, FLORIDA 32602

SERIAL NUMBER: 09321897

FILING DATE: 05/27/1999

PATENT NUMBER:

ISSUE DATE:



010201/0410 PAGE 2

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

09-02-1999

FORM PTO-1595  
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



101132462

COVER SHEET

U.S. Department of Commerce

ONLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

## 1. Name of conveying party(ies):

Sergei Zolotukhin, Barry J. Byrne and Nicholas Muzyczka

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: August 20, 1999

## 2. Name and address of receiving party(ies):

Name: University of Florida

Internal Address:

Street Address: 1938 W. University Avenue

City: Gainesville State: FL Zip: 32602

Additional name(s) & address(es) attached? ☐ Yes ☒ No

8-30-99

## 4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/321,897

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David W. Hibler  
Williams, Morgan & Amerson, P.C.  
7676 Hillmont, Suite 250

City: Houston State: Texas Zip: 77040

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41)----- \$ 40.00

Enclosed

Authorized to be charged to deposit account  
if check insufficient or inadvertently omitted

## 8. Deposit account number: 50-0786/4300.007800

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

David W. Hibler

*David W. Hibler*August 24,  
1999

Name of Person Signing, Reg. No. 41,071

David W. Hibler

Date

Total number of pages including cover sheet, attachments and documents: 3Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignment  
Washington, D.C. 20231CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date below:

August 24, 1999  
Date*David W. Hibler*  
David W. Hibler

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to the University of Florida (the "Assignee"), having a place of business at 1938 W. University Avenue, Gainesville, Florida 32602, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/321,897 which has been filed on May 27, 1999 and is entitled "METHOD OF PREPARING RECOMBINANT ADENO-ASSOCIATED VIRUS COMPOSITIONS", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: [Handwritten Signature]  
Name: Sergei Zolotukhin

Date: 8-20-99

STATE OF FLORIDA                    )  
  ) ss.  
COUNTY OF Alachua            )

BEFORE ME, the undersigned authority, on this 26<sup>th</sup> day of August, 1999, personally appeared Sergei Zolotukhin, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]

[Handwritten Signature]  
Notary or Consular Officer E. Conners  
MY COMMISSION # CC743003 EXPIRES  
June 26, 2002  
BONDED THRU TROY FAIR INSURANCE, INC.



Signature: [Signature]  
Name: Barry J. Byrne

Date: 8/20/99

STATE OF FLORIDA                    )  
  ) ss.  
COUNTY OF Alachua            )

BEFORE ME, the undersigned authority, on this 20<sup>th</sup> day of August, 1999, personally appeared Barry J. Byrne, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Joyce E. Conners  
Notary or Consular Officer

[SEAL]



Joyce E. Conners  
MY COMMISSION # CC743003 EXPIRES  
June 26, 2002  
BONDED THRU TROY FAIN INSURANCE, INC.

Signature: Nicholas Muzyczka  
Name: Nicholas Muzyczka

Date: 8-20-99

STATE OF FLORIDA                    )  
  ) ss.  
COUNTY OF Alachua            )

BEFORE ME, the undersigned authority, on this 20<sup>th</sup> day of August, 1999, personally appeared Nicholas Muzyczka, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Joyce E. Conners  
Notary or Consular Officer

[SEAL]



Joyce E. Conners  
MY COMMISSION # CC743003 EXPIRES  
June 26, 2002  
BONDED THRU TROY FAIN INSURANCE, INC.